Accredited Conformity Assessment Bodies Council

Clemens Wanko

53. CA/B-Forum Meeting
June 15th to 17th, 2021 – remote
AGENDA

1. Council overview
2. How to restart audits alongside COVID-19?
3. Audit Attestation Letter template for ETSI auditors
4. Revised EU eIDAS Regulation (draft)
   - QWACS acceptance mandatory for browser
   - CA (TSP) requirements for EU critical infrastructures
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ACAB’c in short
Accredited Conformity Assessment Bodies – Council (association)

Objective:
To harmonize amongst accredited conformity assessment bodies (CABs) a comparable/standardized application of conformity assessment requirements (ETSI, CEN, CA/B Forum, eIDAS, etc.)

Services for ETSI Auditors/CAB
- working documents (harmonized)
  - Conformity Assessment Report (EU-CAR)
  - Audit Attestation (CA/B-Forum Browser requirements)
- position papers and herewith guidance on standard interpretation
- experience exchange

www.acab-c.com
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Restart on site ETSI auditing alongside COVID-19

Overall conclusion:

Remote auditing worked well in COVID-19 situation

ETSI auditing

- will switch back to on site as a default, as soon as travel allows for all
  - standard cases  
    (...new CA, new services, full audits)
  - in special cases remote can remain for
    - specific changes  
      (...CA policy documentation related)
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Audit Attestation Letter (AAL) template – MRSP 2.7.1 adoption (1)

Identification of the conformity assessment body (CAB) and assessment organization:

- CAB_company_name, street, city, country registered under company_registration
- Accredited by national_accreditation_body under registration accreditation_registration for the certification of trust services according to "EN ISO/IEC 17065-2012" and "ETSI EN 319 403 V2.2.2 (2015-08)" and/or "ETSI EN 319 403-1 V2.3.1 (2020-06)" respectively.
- Insurance Carrier (BRG section 8.2):
  <<< name of insurance company >>>
- Third-party affiliate audit firms involved in the audit: none. <<< ... or if any, provide names of audit firms and the jurisdictions in which they performed services >>>

Identification and qualification of the audit team:

- Number of team members: <<< state headcount >>>
- Academic qualifications of team members:
  All team members have formal academic qualifications or professional training or extensive experience indicating general capability to carry out audits based on the knowledge given below and at least four years full time practical workplace experience in information technology, of which at least two years have been in a role or function relating to relevant trust services, public key infrastructure, information security including risk assessment/management, network security and physical security.
- Additional competences of team members:
  All team members have knowledge of:
  1) audit principles, practices and techniques in the field of CA/TSP audits gained in a training course of at least five days;
  2) the issues related to various areas of trust services, public key infrastructure, information security including risk assessment/management, network security and physical security;
  3) the applicable standards, publicly available specifications and regulatory requirements for CA/TSPs and other relevant publicly available specifications including standards for IT product evaluation; and
  4) the Conformity Assessment Body's processes.
  Furthermore, all team members have language skills appropriate for all organizational levels within the CA/TSP organization; note-taking, report-writing, presentation, and interviewing skills; and relevant personal attributes: objective, mature, discerning, analytical, persistent and realistic.
Audit Attestation Letter (AAL) template – MRSP 2.7.1 adoption (2)

<table>
<thead>
<tr>
<th>Audit quality management:</th>
<th>• The reviewer fulfills the requirements as described for the Audit Team Members above and has acted as an auditor in at least three complete CA/TSP audits.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identification of the trust service provider (TSP):</td>
<td>&lt;&lt;&lt; company name, street, city, country, registered under company registration &gt;&gt;&gt;</td>
</tr>
<tr>
<td>Audit Period covered for all policies:</td>
<td>yyyy-mm-dd to yyyy-mm-dd</td>
</tr>
</tbody>
</table>
| Audit dates: | yyyy-mm-dd to yyyy-mm-dd (remote)  
 yyyy-mm-dd to yyyy-mm-dd (on site) |
| Audit Location: | locations |
Audit Attestation Letter (AAL) template

AAL template conformant to...
- ETSI TS 119 403-2
- CA/B Forum requirements
- Browser Root Store Programs

Kept up to date by us.

Version 2.8 for download here: https://www.acab-c.com/downloads/
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Revised EU eIDAS Regulation

Article 45
Requirements for qualified certificates for website authentication (QWACS)

2. Qualified certificates for website authentication referred to in paragraph 1 shall be recognised by web-browsers. For those purposes web-browsers shall ensure that the identity data provided using any of the methods is displayed in a user friendly manner. Web-browsers shall ensure support and interoperability with qualified certificates for website authentication referred to in paragraph 1, [...]

Brussels, 3.6.2021
COM(2021) 201 final
2021/0136 (COD)

Proposal for a
REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL
amending Regulation (EU) No 910/2014 as regards establishing a framework for a European Digital Identity

(SDE(2021) 228 final) - (SWDC(2021) 124 final) - (SWDC(2021) 125 final)
Revised EU eIDAS Regulation

European CA (TSP) undergo Critical Infrastructure requirements

Motivation

(22) In order to streamline the *cybersecurity obligations imposed on trust service providers*, as well as to enable these providers and their respective competent authorities to benefit from the legal framework established by [.. ] (NIS2 Directive), trust services are required to take appropriate technical and organisational measures pursuant to [..](NIS2 Directive), [..]

- system failure, human error, malicious actions or natural phenomena in order to manage the risks posed to the security of network and information systems [..] as well as to notify significant incidents and cyber threats [..]
For further information or in order to register, please contact us at:
www.acab-c.com

The Accredited Conformity Assessment Bodies’ council
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